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IPW 001/003

Atty Docket No. 080575-000000US

PTO FAX NO.: 1-571-273-6500

ATTENTION: Office of Finance

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OFFICE OF PETITIONS

**OFFICIAL COMMUNICATION**

**FOR THE ATTENTION OF**

**THE OFFICE OF FINANCE**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents in re Application of LINDHOFER and RUF, Application No. 09/787,970, filed September 26, 2001 for TIME-STAGGERED UTILIZATION OF TUMOR CELLS IN COMBINATION WITH INTACT ANTIBODIES FOR IMMUNIZATION, are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. CORRECTION OF ENTITY STATUS AND PAYMENT OF DEFICIENCY OWED UNDER 37 CFR §§ 1.27(g) and 1.28(c)

Number of pages being transmitted, including this page: 3

Dated: November 29, 2007

Julie Taylor Clough  
Julie Taylor Clough

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY  
RETURN FACSIMILE AT (415) 576-0300**

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Two Embarcadero Center, Eighth Floor  
San Francisco, CA 94111-3834  
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Fax No. 1-571-273-6500 on Nov 29, 2007

Docket No.: 080575-000000US

TOWNSEND and TOWNSEND and CREW LLP

By: Julie Taylor Clough

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HORST LINDHOFER et al.

Patent No.: 6,994,853

Issued: February 7, 2006

Application No.: 09/787,970

Filed: September 26, 2001

For: TIME-STAGGERED  
UTILIZATION OF TUMOR CELLS IN  
COMBINATION WITH INTACT  
ANTIBODIES FOR IMMUNIZATION

CORRECTION OF ENTITY STATUS  
AND PAYMENT OF DEFICIENCY  
OWED UNDER 37 CFR §§ 1.27(g)  
and 1.28(c)

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It has recently been discovered that the above application was incorrectly given  
small entity status at the time of filing.

The following submission of deficiency payment and itemization are believed to  
meet the requirements of 37 C.F.R. §§ 1.28(c)(1) and (c)(2). Furthermore, Applicants  
understand that under 37 CFR § 1.28(d) this submission is treated under § 1.27(g)(2) as a  
notification of loss of entitlement to small entity status. Therefore, Applicants respectfully  
request the deficiency payment be processed and the correct entity status be accorded to the  
application.

12/05/2007 DALLEN 00000012 201430 09787970

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NOV 29 2007

Application No. 09/787,970  
Filing Date: September 26, 2001


Attorney Docket 080575-000000US

**ITEMIZATION AND CALCULATION OF THE DEFICIENCY OWED**

DATE OF PAYMENT	TYPE OF FEE	SMALL ENTITY FEE ACTUALLY PAID	(CURRENT) LARGE ENTITY FEE FOR ACTION	DEFICIENCY PAYMENT OWED
March 22, 2001	Basic Filing Fee	\$457	\$1030	\$573
March 22, 2001	Extra claim fee	\$54	\$150	\$96
N/A	Surcharge	\$65	\$130	\$65
September 26, 2001	3 mo. ext.	\$445	\$1050	\$605
June 21, 2004	1 mo. ext.	\$55	\$120	\$65
February 18, 2005	1 mo. ext.	\$60	\$120	\$60
November 10, 2005	Issue Fee	\$700	\$1440	\$740
TOTAL DEFICIENCY OWED				\$2204

Applicants hereby authorize the Commissioner to deduct the total deficiency owed of \$2204.00 from Deposit Account No. 20-1430. Please deduct any additional fees due from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Dated: 29 November  
M. Henry Heines  
Reg. No. 28,219

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